## A Deterministic Better-than-3/2 Approximation Algorithm for the Metric Traveling Salesperson Problem

Nathan Klein University of Washington

Joint work with:

#### Anna Karlin



#### Shayan Oveis Gharan



## Metric, symmetric TSP

Given a set of cities and their pairwise symmetric distances satisfying the triangle inequality,

 $d(u, v) \le d(u, w) + d(w, v)$ 

find the minimum cost tour that visits every city at least once.

In other words, given a weighted graph, find a **minimum cost spanning Eulerian subgraph**.





## Approximation

Approximate tour (efficiently computed)



*Best approximation algorithm:* at most **50% worse** than optimal, i.e. a 1.5-approximation [Christofides '76, Serdyukov '78]

*Lower bounds:* can't do better than **about 1%** (currently 123/122) unless P=NP [Papadimitriou-Yannakakis '93, Böckenhauer-Seibert '00, Papadimitriou-Vempala '00, Engebretsen '03, Lampis '12, Karpinski-Lampis-Schmied '15]

## **Approximation algorithms**

[Christofides '76, Serdyukov '78]: 3/2 approximation

[Wolsey '80, Shmoys-Williamson '90]: 3/2 integrality gap of LP relaxation

[Arora '96, Mitchell '96]: PTAS for Euclidean TSP

[Papadimitriou-Yannakakis '93, Blaser-Ram '05, Berman-Karpinski '06]: 1.14 for (1,2) TSP

[Grigni-Koutsoupias-Papadimitriou '95, Arora-Grigni-Karger-Klein-Woloszyn '98, Klein '05]: PTAS for planar TSP

[Talwar '04, Bartal-Gottlieb-Krauthgamer '12]: PTAS for TSP on metrics with bounded doubling dimension.

[Gamarnik-Lewenstein-Sviridenko '05, Aggarwal-Garg-Gupta '11, Boyd-Sitters-Ster- Stougie '11, Correa, Larre, Soto '12]: 4/3 and even below for graphic TSP on (sub)cubic graphs.

[Demaine-Hajiaghayi-Mohar'07, Demaine-Hajiaghayi-Kawarabayashi '11]: PTAS for TSP on bounded genus and minor free graphs

[Oveis Gharan-Saberi-Singh '10] [Mömke-Svensson '11] [Mucha '11] [Sebő-Vygen '12]: 1.4 for graphic TSP

[Carr-Ravi '98, Boyd-Carr '11,Boyd-Legault '15, Boyd-Sebő '17, Haddadan-Newman-Ravi '18, Hadaddan- Newman '19, Karlin-K-Oveis Gharan '20][Gupta-Lee-Li-Mucha-Newman-Sarkar '21]: 1.4983 for half integral TSP

[Hoogeveen '91][An-Kleinberg-Shmoys '11][Sebo '13][Vygen '15][Gottschalk-Vygen '15][Sebo-van Zuylen '16][Traub-Vygen '18][Zenklusen '18][Traub-Vygen-Zenklusen '19]: Reducing path TSP to TSP

[Kisfaludi-Bak '20]: Quasi-polynomial hyperbolic TSP

## **Recent result**

1 metre

10º m

person

Planck scale







András Sebő in Bonn

Me in Bonn 2021

Using randomness is cheating

You haven't beaten Christofides until you get a deterministic algorithm.



András Sebő in Bonn

Me in Bonn 2021



(... It was definitely much nicer than this, maybe "it would be cool if you could derandomize it")



András Sebő in Bonn

## This result



Photo credit: Bill Cook

#### Outline

#### 1. Background and algorithm

- 2. Computing  $\mathbb{E}[c(T) \mid Set]$  with the matrix tree theorem
- 3. Defining y(T) in the special "degree cut" case.
- 4. Computing  $\mathbb{E}[c(y) | Set]$  in the degree cut case.

# Background #1: Linear programming relaxation





Subtour elimination LP/Held-Karp relaxation [Dantzig, Fulkerson, Johnson '54][Held and Karp '70]

## Background #2: $\lambda$ -uniform spanning trees

For  $\lambda: E \to \mathbb{R}_{\geq 0}$ , the  $\lambda$  -uniform spanning tree distribution sets:

 $\mathbb{P}[T] = \prod_{e \in T} \lambda_e = \lambda^T$  for all trees T

Where we assume  $\lambda$  is normalized such that  $\sum_T \lambda^T = 1$ .  $\lambda_1 = 1/2$   $\lambda_3 = 1/3$   $= \left(\frac{1}{2}\right)$   $+ \left(\frac{1}{3}\right)$   $+ \left(\frac{1}{6}\right)$ 

[Asadpour, Goemans, Madry, Oveis Gharan, Saberi '10]: For any point z in the spanning tree polytope, we can find a  $\lambda$ -uniform distribution in polynomial time (via a max entropy convex program) such that:

$$\forall e, \mathbb{P}_{T \sim \mu_{\lambda}}[e \in T] = z_e$$

Up to exponentially small multiplicative error.

For  $\lambda: E \to \mathbb{R}_+$ , a  $\lambda$  –uniform spanning tree distribution satisfies

#### Example

Suppose we get this point  
in the spanning tree polytope 
$$x_{e_1} = 2/3$$
  $x_{e_2} = 5/6$   $\mathbb{P}_{\lambda}[T] = \prod_{e \in T} \lambda_e$ 

Then we will produce this vector  $\lambda$  and thus a distribution over spanning trees

$$\lambda_1 = 1/2 \qquad \qquad \lambda_2 = 1 \qquad \qquad + \quad \left(\frac{1}{3}\right) \qquad + \quad \left(\frac{1}{6}\right)$$

## Max entropy tree algorithm for TSP

Slight variant of [Oveis Gharan, Saberi, Singh '10]

- Compute an LP solution *x* to the subtour LP
- Find a  $\lambda$ -uniform distribution  $\mu_{\lambda}$  with marginals x
- Sample  $T \sim \mu_{\lambda} \implies \mathbb{E}[c(T)] = c(x) \leq OPT$
- Add the minimum cost matching M on the odd degree vertices of T | the spectrum the second degree vertices of T | the spectrum term of te



The subtour polytope is (almost) contained in the spanning tree polytope

\* Using properties of  $\lambda$ -uniform trees... \*

**Main Theorem** [KKO'20] :  $\mathbb{E}[c(M)] \leq \left(\frac{1}{2} - \epsilon\right) OPT$  for some  $\epsilon > 10^{-36}$ .



## A Deterministic $\frac{3}{2} - \epsilon$ Approximation Algorithm for TSP Obvious corollary!

**Main Theorem** [KKO'20] :  $\mathbb{E}[c(T) + c(M)] \le \left(\frac{3}{2} - \epsilon\right) OPT$  for some  $\epsilon > 10^{-36}$ .

- Compute an LP solution x to the subtour LP
- Find a  $\lambda$ -uniform distribution  $\mu_{\lambda}$  with marginals x
- For each T in the support of  $\mu_{\lambda}$ , compute c(T) + c(M).
- Output the tree that minimizes c(T) + c(M).

**Issue:** There are exponentially many trees in the support of  $\mu_{\lambda}$ .

## A Deterministic $\frac{3}{2} - \epsilon$ Approximation Algorithm for TSP Attempt #2

Main Theorem [KKO'20]:  $\mathbb{E}[c(T) + c(M)] \le \left(\frac{3}{2} - \epsilon\right) OPT$  for some  $\epsilon > 10^{-36}$ .

• Compute an LP solution x to the subtour LP

**Issue:** how do we compute this quantity?

To sample the tree deterministically

- If  $\mathbb{E}[c(T) + c(M) | Set, e \in T] \leq \mathbb{E}[c(T) + c(M) | Set, e \notin T]$ :
  - Let  $Set = Set \cup \{e = 1\}$
- Else, let  $Set = Set \cup \{e = 0\}$ .
- Let T be the set of edges set to 1 in Set.
- Add the minimum cost matching M on the odd degree vertices of T

#### A short remark and the main theorem

**Issue:** how do we compute  $\mathbb{E}[c(T) + c(M) | Set, e \in T]$ ?

Notice: the *proof* upper bounds this quantity. Can we make the *proof* polytime?

Main Theorem [KKO'20]:  $\mathbb{E}[c(M) + c(T)] \le \left(\frac{3}{2} - \epsilon\right) OPT$ 

Here the analysis used the optimal solution. Thus there was really no hope of derandomizing this computation. Main Theorem [KKO'21]:  $\mathbb{E}[c(M) + c(T)] \le \left(\frac{3}{2} - \epsilon\right)c(x)$ 

In this result, the analysis did not use the optimal solution. This bounded the integrality gap and gave hope for derandomization. We show that the analysis in [KKO'21] can be made into a polynomial time algorithm.

**Main Theorem:** There exists a random variable  $y: \mathcal{T} \to \mathbb{R}^{E}_{\geq 0}$  such that:

- 1.  $\forall T \in \mathcal{T}, c(M) \leq c(y)$  (*M* is min cost matching).
- 2.  $\mathbb{E}[c(T) + c(y)] \le \left(\frac{3}{2} \epsilon\right)c(x).$
- 3. For any setting *Set* of edges in/out of the tree we can compute  $\mathbb{E}[c(T) + c(y) | Set]$  in polynomial time.

i.e., c(y) is a pessimistic estimator for c(M) that we can efficiently compute and has cost below  $\frac{x}{2}$ 

**Condition 3:** For any setting *Set* of edges in/out of the tree we can compute  $\mathbb{E}[c(T) + c(y) | Set]$  in polynomial time. (Need to show!)

Shows that the algorithm can be implemented in polynomial time.

- Compute an LP solution x to the subtour LP
- Find a  $\lambda$ -uniform distribution  $\mu_{\lambda}$  with marginals x
- Initialize  $Set = \emptyset$ .
- For each edge *e*:

To sample the tree deterministically

- If  $\mathbb{E}[c(T) + c(y) | Set, e \in T] \le \mathbb{E}[c(T) + c(y) | Set, e \notin T]$ :
  - Let  $Set = Set \cup \{e = 1\}$
- Else, let  $Set = Set \cup \{e = 0\}$ .
- Let T be the set of edges set to 1 in Set.
  - Add the minimum cost matching M on the odd degree vertices of T

**Goal:** compute  $\mathbb{E}[c(T) + c(y) | Set]$  for any possible setting of edges in/out of the tree *Set*.

### Outline

- 1. Background and algorithm
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#### Key definition

**Generating polynomial:** Let  $\mu_{\lambda}$  be a  $\lambda$ -uniform distribution over spanning trees of a graph G = (V, E). For each  $e \in E$ , define a variable  $z_e$ .

Then the generating polynomial of  $\mu_{\lambda}$  is:

Where we define 
$$z^T = \begin{cases} g_{\mu_\lambda}(\{z_e\}_{e\in E}) = \sum \mu(T)z^T = \sum \lambda^T z^T \\ & \text{Why is this useful? It has exponentially many terms} \end{cases}$$
  
 $\lambda_f = 1/2$ 
 $\lambda_g = 1/3$ 
 $g_{\mu_\lambda}(\{z_e\}_{e\in E}) = \frac{1}{2}z_e z_f + \frac{1}{3}z_e z_g + \frac{1}{6}z_f z_g$ 

#### The matrix tree theorem [Kirchoff 1847]

**Matrix Tree Theorem:** Let  $\mu_{\lambda}$  be a  $\lambda$ -uniform distribution over spanning trees on a graph G = (V, E). Then,

$$g_{\mu_{\lambda}}(\{z_e\}_{e \in E}) = \sum_{T} \mu(T) z^T = \sum_{T} \lambda^T z^T$$
$$= \det\left(\sum_{e} \lambda_e z_e L_e + \frac{\mathbf{11}^T}{n^2}\right)$$

Where for an edge e = (u, v),  $L_e = (1_u - 1_v) (1_u - 1_v)^T$ 

is the Laplacian of the edge *e*.

**Upshot:** we can compute the value of  $g_{\mu_{\lambda}}(z)$  at any point  $z \in \mathbb{C}^{|E|}$  in polynomial time.

Therefore, we can easily compute  $\mathbb{E}[c(T) | Set]$ .

It remains to compute  $\mathbb{E}[c(y) | Set]$ .

**Question 1**: Given a  $\lambda$ -uniform distribution  $\mu_{\lambda}$  and an oracle to compute  $g_{\mu_{\lambda}}$  how do we compute  $\mathbb{P}_{T \sim \mu_{\lambda}}[f \in T]$  for an edge f?

**Answer:** 
$$\mathbb{P}[f \in T] = 1 - \mathbb{P}[f \notin T] = 1 - g_{\mu_{\lambda}}(z)$$
 where  $z_f = 0$  and  $z_e = 1$  for  $e \neq f$ , as:  
 $g_{\mu_{\lambda}}(z) = \sum_{T:f \notin T} \lambda^T$ 

**Question 2**: How do we compute  $\mathbb{P}[f \in T \mid Set]$  for an edge f?

**Answer:** First, contract all edges set to 1 and delete all edges set to 0. We have a resulting  $\lambda'$ -uniform distribution  $\mu_{\lambda}$ , on a graph G'. First, renormalize  $\lambda'$ . Then apply the above.

**Goal:** compute  $\mathbb{E}[c(T) + c(y) | Set]$  for any possible setting of edges in/out of the tree *Set*.

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## Interlude: The Odd(T)-Join polyhedron $P_{O(T)}$ [Edmonds and Johnson '73]



Has an integrality gap of 1.

So, if y is in the Odd(T)-Join polyhedron  $P_{O(T)}$ , then  $c(M) \le c(y)$ . We will ensure this, implying c(y) is a pessimistic estimator.

Subtour LP constraints



#### Degree cut case



Odd(T)-Join constraints  $\sum_{\substack{e \in \delta(S) \\ y_e \ge 0}} y_e \ge 1 \quad \forall S \mid \delta(S) \cap E(T) \mid \text{odd}$ 

Suppose that the only (really) small cuts in the LP solution x are the vertices.

In other words, suppose all cuts  $S \subseteq V$  with  $2 \leq |S| \leq n-2$  have

$$\sum_{e \in \delta(S)} x_e \ge 2 + \eta$$

for some absolute constant  $\eta > 0$ .

## An estimator y for the degree cut case

Odd(T)-Join constraints

$$\sum_{\substack{e \in \delta(S) \\ y_e \ge 0}} y_e \ge 1 \quad \forall S \mid \delta(S) \cap E(T) \mid \text{odd}$$

+ We assume all non-vertex cuts have at least  $2 + \eta$  mass going across in x.



For an edge 
$$e = (u, v)$$
, we let:  
 $y_e = \frac{\frac{x_e}{2+\eta}}{\frac{x_e}{2}}$ 

if u and v both have even degree in T

otherwise

## Claim: y(T) is in $P_{O(T)}$

For an edge e = (u, v), we let: y(T)

$$(r)_e = -\begin{bmatrix} \frac{x_e}{2+\eta} \\ \frac{x_e}{2} \end{bmatrix}$$

if u and v both have even degree in T

otherwise

**Proof:** For any cut 
$$2 \le |S| \le n - 2$$
,  $x(\delta(S)) \ge 2 + \eta$  (by assumption). Since  $y_e \ge \frac{x_e}{2+\eta}$ ,  
$$\sum_{e \in \delta(S)} y_e \ge \frac{1}{2+\eta} \sum_{e \in \delta(S)} x_e \ge \frac{1}{2+\eta} \cdot (2+\eta) = 1$$

For the vertices: if a vertex v is even, there is no constraint. If v is odd, then all  $e \sim v$  have  $y_e = \frac{1}{2}x_e$ , so since  $\sum_{e \in \delta(v)} x_e = 2$  we have  $\sum_{e \in \delta(v)} y_e = 1$ .

Odd(T)-Join constraints ( $P_{O(T)}$ )

$$\sum_{\substack{e \in \delta(S) \\ y_e \ge 0}} y_e \ge 1 \quad \forall S \mid \delta(S) \cap E(T) \mid \text{odd}$$

So, y is a simple pessimistic estimator for the cost of the min-cost matching and it has  $\mathbb{E}[c(y)] \le \left(\frac{1}{2} - \epsilon\right)c(x)$  at the beginning.

Now we'll show how to compute  $\mathbb{E}[c(y) | Set]$  for this simple y.

Both endpoints of *e* are even  

$$\mathbb{E}[y_e] = \frac{x_e}{2} (1 - \mathbb{P}[u, v \text{ even degree in } T]) + \mathbb{P}[u, v \text{ even degree in } T] \frac{x_e}{2 + \eta}$$

Some endpoint of *e* is odd

$$= \left(\frac{1}{2} - \frac{\eta}{4+2\eta} \mathbb{P}[u, v \text{ even degree in } T]\right) x_e \le \left(\frac{1}{2} - \frac{\eta p}{4+2\eta}\right) x_e$$

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- For all trees  $T \in \mathcal{T}$ ,  $c(M) \leq c(y)$  where M is the minimum cost matching on the odd vertices of T.
- $\mathbb{E}[c(T) + c(y)] \le \left(\frac{3}{2} \epsilon\right)c(x)$ .
- For any setting *Set* of edges in/out of the tree we can compute  $\mathbb{E}[c(T)+c(y)|$  *Set*] in polynomial time.

Let e = (u, v). Then,

$$\mathbb{E}[y_e] = \left(\frac{1}{2} - \frac{\eta}{4 + 2\eta} \mathbb{P}[u, v \text{ even degree in } T]\right) x_e$$

Therefore,

$$\mathbb{E}[y_e \mid Set] = \left(\frac{1}{2} - \frac{\eta}{4 + 2\eta} \mathbb{P}[u, v \text{ even degree in } T \mid Set]\right) x_e$$

So, to compute  $\mathbb{E}[c(y)]$ , it is enough to compute  $\mathbb{P}[u, v \text{ even degree in } T \mid Set]$  for all e = (u, v).

#### This is straightforward using the generating polynomial $g_{\mu_{\lambda}}$

## Computing $\mathbb{P}[u, v \text{ even degree in } T \mid Set]$

**Observation**: It is easy to condition on *Set*.

We've seen this before! Contract all edges set to 1, delete all edges set to 0, and renormalize. So, all we have to do is compute  $\mathbb{P}[u, v \text{ even degree in } T]$  for a  $\lambda$ -uniform distribution.

**Warmup**: Remember we can compute  $g_{\mu\lambda}(\{z_e\}_{e \in E}) = \sum_T \mu(T) z^T = \sum_T \lambda^T z^T$  at any point z. If I give you a set  $F \subseteq E$ , how do we compute  $\mathbb{P}[|F \cap T| \text{ even}]$ ?

So, 
$$\mathbb{P}[|F \cap T| \text{ even}] = \frac{1}{2}(g_{\mu\lambda}(z) + 1)$$

## Computing $\mathbb{P}[u, v \text{ even degree in } T \mid Set]$

**Lemma**: We can compute  $\mathbb{P}[|A \cap T|, |B \cap T| \text{ even } | Set]$  for any sets of (not necessarily disjoint) edges  $A, B \subseteq E$ .

From the previous slide, we can drop *Set*. Now observe:

 $\mathbb{I}[|A \cap T|, |B \cap T| \text{ even}] = \frac{1}{4} \left( 1 + (-1)^{|A \cap T|} + (-1)^{|B \cap T|} + (-1)^{|((A \setminus B) \cup (B \setminus A)) \cap T|} \right)$ Recall for  $F \subseteq E$  and the point  $z^F$  where  $z_e^F = 1$  for  $e \notin F$ ,  $z_e^F = -1$  for  $e \in F$ , we have  $g_{\mu_\lambda}(z) = \sum_T \mu(T)(-1)^{|F \cap T|} = \mathbb{E}[(-1)^{|F \cap T|}]$ 

**Corollary**: We can compute  $\mathbb{P}[u, v \text{ even degree in } T \mid Set]$ .

So, we have a deterministic algorithm in the degree cut case.

## Using the actual (complicated) y from [KKO'21], after some more work...

**Theorem:** There is a deterministic randomized  $1.5 - 10^{-36}$  approximation algorithm for metric (path) TSP.





Traub, Vygen, Zenklusen in 2019

#### **Key Derandomization Lemma**

**Lemma**: For any sets of (not necessarily disjoint) edges  $A_1, ..., A_k \subseteq E$ , and any  $\sigma_1, ..., \sigma_k \in \mathbb{F}_{r_1} \times \cdots \times \mathbb{F}_{r_k}$  and any  $\lambda$ -uniform distribution  $\mu_{\lambda}$ , we can compute  $\mathbb{P}_{T \sim \mu_{\lambda}}[|A_i \cap T|] = \sigma_i \pmod{r_i}$  in  $T \forall 1 \le i \le k \mid Set$ ] in time polynomial in  $r_1r_2 ... r_k$  (so, polynomial for any constant k).

Note: we only need this for  $r_i \in \{2, n - 1\}$ , i.e. we are only interested in parity and cardinality.

## **Open questions**

#### **Open questions:**

- Can we directly compute  $\mathbb{E}[c(T) + c(M) | Set]$  deterministically?
- Are there tree distributions with *polynomial sized support* that beat 3/2? (True for the degree cut case! [Hadaddan-Newman '19])
- Can we improve the analysis for this algorithm?





## Thank you!



TSPortrait of Dantzig by Robert Bosch, 2006

## Previous result

**Theorem [Karlin-K-Oveis Gharan '20]** There is a randomized 1.5  $-10^{-36}$  approximation algorithm for metric TSP.

On this tour we would gain the width of an atom!



#### We derandomize:

- First, we 1. The probabilistic computations
- uniform 2. The uncrossing operations
- distribut 3. The construction of y for the laminar family

Rayleigh







or

**Generating polynomial:** Let  $\mu: 2^E \to \mathbb{R}_{\geq 0}$  be a distribution over ground set *E*. For each  $e \in E$ , define a variable  $z_e$ . Then the generating polynomial of  $\mu$  is defined as:

$$g_{\mu}(\{z_e\}_{e\in E}) = \sum_{S\in 2^E} \mu(S) z^S$$

Where we define  $z^S = \prod_{e \in S} z_e$ .

**Example:** For a  $\lambda$ -uniform distribution of spanning trees over a graph G = (V, E), the generating polynomial is:

$$g_{\mu_{\lambda}}(\{z_e\}_{e \in E}) = \sum_{T \in \mathcal{T}} \mu(T) z^T = \sum_{T \in \mathcal{T}} \lambda^T z^T$$